# Authorship, etiquette, and collaboration



**April 26, 2023** 



# Presenting your team



# Send us your questions!

Send in your questions via the Q&A Box on your screen. →







## Authorship

- Made a substantial contribution to the concept or design of the work; or in the acquisition, analysis or interpretation of data
- Drafted the article or revised it critically for important intellectual content
- Approved the version to be published
- Participated sufficiently in the work to take public responsibility for appropriate portions of the content
- All others should be listed in the acknowledgement section





# Resources to Determine Authorship



- ICMJE
- APA
- CRedIT
- COPE



# Authorship – Pause to Consider



• Editors generally do not have a bias against single author publications. However, Editors may consider certain factors when evaluating a single-author publication.





# Acknowledgments

- Helped with acquisition of funding
- Collected data
- Provided and cared for study patients
- General supervision of research group
- Provided technical editing of the manuscript



#### Author Order & Roles



- First / Lead Author
  - Primary author
  - Carries out the research
  - Takes responsibility for writing and editing
- Last Author
  - Most senior person
  - Oversaw the project
  - Maybe funded the project
- Corresponding Author
  - Responsible for communication with journal staff
  - Signs the contributor agreement on behalf of all authors





#### **ORCID**

- Many journals require you to login with an ORCID iD upon submission
- Creates a permanent, clear and unambiguous record of research and scholarly communication by enabling reliable attribution of authors and contributors
- ORCiD links researchers seamlessly with their contributions across disciplines, borders and time



# Why Collaborate?



- Value and credibility
- Support
- Promotion
- Experience
- Relationships



#### **Exercise Caution**

- All authors should agree on who will be listed on the publication
- Outline roles and expectations before starting the research
- Facilitate clear communication
- Maintain consistent tone and voice of article
- Ensure all authors embrace academic integrity in current and past work
- Consent must be provided by all contributing authors



### Etiquette

- Be respectful of all authors cited and persons to whom you refer
- Do not reveal any personal information about persons mentioned that is damaging or hurtful
- Follow the instructions to authors
- Return revisions and page proof requests on time
- Address queries to the best of one's ability





# Ethics & Responsibility



Only submit to one journal at a time



Duplicate publication is likely to result in a retraction



Observe copyrights and obtain necessary permissions



Ensure work is original and written by the author team



Ensure all data or images are representative of original fundings



Clearly disclose any conflicts of interest (COI) and funding



Adhere to all research ethics, especially when human participants or animals are involved

# How to Resolve Authorship Disputes



#### Pre-publication disputes

 $1 \longrightarrow 2 \longrightarrow 3 \longrightarrow 4 \longrightarrow 5 \longrightarrow 6$ 

Consult authorship guidelines

Identify the core problem(s) and possible solutions

Alert the journal editor if there is a permissions issue

Check if additional contributors should be added to the author byline

Discuss with your institution to mediate the issue

Contact journal editor or Publisher to pause submission

Sources: Research Integrity Journal and Facets Journal



# How to Resolve Authorship Disputes



Post-publication disputes

2

3

(4)

5

Consult authorship guidelines and journal requirements

Contact your institution to determine if authorship contributions are appropriate

Provide evidence of contribution or any relevant communication around authorship

Contact the journal editor and Publisher to inform them if the dispute is related to data/material ownership

Request the institution contacts the Publisher once investigation is complete and the author byline needs to be modified

\*\*NB: Journal Editors/ Publishers rely on institutions to clarify authorship contributions



## Name change policy

- Sage now has a formal policy to allow for post-publication name and pronoun changes for <u>any</u> reason.
- This includes, but is not limited to, name changes because of
  - Marriage
  - Divorce
  - gender affirmation
  - religious conversion
- Sage will not publish a correction notice, nor require any form of proof or supporting documentation.



#### Deceased authors – what do I do?

- My co-author died after we submitted the manuscript and before it was accepted. Can the article still be published?
  - Journal will usually have a modified form for use where one of the co-authors has died. Because the author was alive when it was submitted, we have some implied consent for the publication through the co-author's participation/awareness of the submission
- My co-author died before we submitted the manuscript for publication. Can I still submit the manuscript?
  - Ask for the heirs/family to agree to the publication
  - Have documentation ready that illustrates the role of each author in preparing the manuscript
  - Provide documentation of the deceased author's intent to publish the article





Including images in your research paper may be the best way to present information, but image integrity is essential in academic publishing. On May 24, we will investigate ways to ensure your research is presented correctly so that it will pass a rigid quality control by editors and are published in an ethical way.







2023 Program

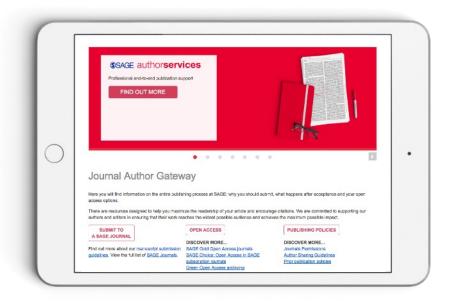
May 24 – Image integrity

June 21 – How to secure funding

July 19 – Guidance for Researchers from Lower Income Countries

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- SAGE Chinese Author Gateway features translated materials

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# Thank you!

Questions?

